

07/09/01

07-10-01

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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.	1571.1058-010
First Named Inventor or Application Identifier	Robert B. Nilsen
Express Mail Label No.	EL 762233463 US

Title of Invention	TEXTURED RETROREFLECTIVE PRISM STRUCTURES AND MOLDS FOR FORMING SAME
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APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <b>Total Pages [ 28 ]</b> (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to microfiche Appendix</li><li>- Background of the Invention</li><li>- Summary of the Invention</li><li>- Brief Description of the Drawings</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy) [ ] Pages</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets [ 10 ]</b> <input checked="" type="checkbox"/> Formal [ ] Informal <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [ 8 ]	<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Reflexite Corporation 120 Darling Drive, Avon, CT 06001-4217 9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement [ ] Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity <input checked="" type="checkbox"/> Statement filed in prior application, Statement(s) status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request (check parent application) 17. <input checked="" type="checkbox"/> Other: Remarks
4. <input checked="" type="checkbox"/> Oath or Declaration/POA <b>Total Pages [ 6 ]</b> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 18 completed) <b>[NOTE Box 5 below]</b><ul style="list-style-type: none"><li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).</li></ul></li></ul>	
5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☒ Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.: 08/883,329  
Prior application information: Examiner: Loney, D. Group Art Unit: 1772

19. CORRESPONDENCE ADDRESS

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Signature		Date	July 9, 2001
Submitted by Typed or Printed Name	Erik L. Ence	Reg. Number	42,511

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number

1571.1058-010

Application Number

Continuation of 08/883,329

First Named Inventor

Robert B. Nilsen

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	16 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$ 0
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 710
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 355
	TOTAL =				\$ 355
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 0
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 0
	Assignment Recordation Fee = (only when filed with application)				\$ 0
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 355

### 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$355.00. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature



Date

July 9, 2001

 Submitted by  
Typed or Printed Name

Erik L. Ence

Reg. Number

42,511

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>		Attorney Docket Number		1571.1058-010	
		Application Number		Continuation of 08/883,329	
		First Named Inventor		Robert B. Nilsen	
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	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	16 - 20* =	0	x \$ 18 =	\$ 0
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				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 710
				Total of above Calculations =	\$ 710
	Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =				\$ 355
	TOTAL =				\$ 355
	Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =				\$ 0
	Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =				\$ 0
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$ 0
				TOTAL =	\$ 355
<p>* Reissue claims in excess of 20 and over original patent</p> <p>** Reissue independent claims over original patent</p>					
<p>1. Small entity status:</p> <p>a. <input type="checkbox"/> A small entity statement is enclosed.</p> <p>b. <input checked="" type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p>c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$355.00. <input type="checkbox"/> Please charge \$[ ] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature		<i>Erik L. Ence</i>		Date	July 9, 2001
Submitted by Typed or Printed Name		Erik L. Ence		Reg. Number	42,511

11000 U.S. PTO  
09/01/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert B. Nilsen, Christopher Alan Barnett, and Nicholas John Phillips

Continuation Application of

Application No.: 08/883,329

Filed: June 25, 1997

For: Textured Retroreflective Prism Structures and Molds for Forming  
Same

Date: July 9, 2001

EXPRESS MAIL LABEL NO. EL 7622334634S

REMARKS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of U.S. Patent Application No. 08/883,329 filed on June 25, 1997, to which priority is claimed under 35 U.S.C. § 120.

The related applications paragraph has been revised to include the parent application. No new matter has been added.

An Assignment for this application was recorded on Reel 9413, Frames 0413-0422 on August 28, 1998 for Application No. 08/883,329 to which this application claims priority.

09001199-070901

Claims 1-12, as originally filed, have been omitted, and new Claims 1-16 have been substituted therefor, which correspond essentially to originally filed Claims 13-26 of Application No. 08/883,329.

Respectfully submitted,  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.



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Dated: July 9, 2001  
Lexington, Massachusetts 02421-4799

09901199-070901